

Please type a plus sign (+) inside this box



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3239P081D

First Inventor Rajiv Ramaswami, et al.

Title METHOD AND APPARATUS FOR OPTICAL TO ELECTRICAL TO
OPTICAL CONVERSION IN AN OPTICAL CROSS-CONNECT SWITCH

Express Mail Label No. EV323393547US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

03913 U.S.P.T.O.
10/648026
08/26/03

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 63.]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 24.]
5. Oath or Declaration (signed) [Total Pages 4.]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies
9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment Application Amended to Reflect Claim of Priority
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/704,439

Prior application Information: Examiner Brian Healy Group/Art Unit: 2874

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number

08791

or Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	(714) 557-3800	Fax (714) 557-3347

Name (Print/Type)	William E. Alford	Registration No. (Attorney/Agent)	37,764
Signature		Date	08/26/03

Based on PTO/SB/05 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2001.
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEE TRANSMITTAL for FY 2003		<i>Complete if Known</i>	
Effective 01/01/2003. Patent fees are subject to annual revision.			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Application Number	
		Filing Date	
		First Named Inventor	Rajiv Ramaswami
		Examiner Name	Brian Healy
		Group/Art Unit	2874
		Attorney Docket No.	3239P081D
TOTAL AMOUNT OF PAYMENT	(\\$)	1,158.00	

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES				
<input checked="" type="checkbox"/> Deposit Account					Large Entity		Small Entity		
Deposit Account Number	02-2666				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
Deposit Account Name	Blakely, Sokoloff, Taylor & Zafman LLP				1051	130	2051	65	Surcharge - late filing fee or oath
					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
					2053	130	2053	130	Non-English specification
					1812	2,520	1812	2,520	For filing a request for ex parte reexamination
					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
					1251	110	2251	55	Extension for reply within first month
					1252	410	2252	205	Extension for reply within second month
					1253	930	2253	465	Extension for reply within third month
					1254	1,450	2254	725	Extension for reply within fourth month
					1255	1,970	2255	985	Extension for reply within fifth month
					1404	320	2401	160	Notice of Appeal
					1402	320	2402	160	Filing a brief in support of an appeal
					1403	280	2403	140	Request for oral hearing
					1451	1,510	2451	1,510	Petition to institute a public use proceeding
					1452	110	2452	55	Petition to revive - unavoidable
					1453	1,300	2453	650	Petition to revive - unintentional
					1501	1,300	2501	650	Utility issue fee (or reissue)
					1502	470	2502	235	Design issue fee
					1503	630	2503	315	Plant issue fee
Total Claims	38	- 20*	= 18	X 18.00	= \$324.00	Fee Paid			
Independent Claims	4	- 3*	= 1	X 84.00	= \$84.00				
Multiple Dependent									
2. EXTRA CLAIM FEES					Extra Claims	Fee from below	Fee Paid		
Total Claims	38	- 20*	= 18	X 18.00	= \$324.00				
Independent Claims	4	- 3*	= 1	X 84.00	= \$84.00				
Multiple Dependent									
3. ADDITIONAL FEES									
Large Entity	Small Entity								
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description					
1202	18	2202	9	Claims in excess of 20					
1201	84	2201	42	Independent claims in excess of 3					
1203	280	2203	140	Multiple Dependent claim, if not paid					
1204	84	2204	42	**Reissue independent claims over original patent					
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) (\$)					408.00				
Other fee (specify)									
*Reduced by Basic Filing Fee Paid									
SUBTOTAL (3) (\$)									

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	William E. Alford	Registration No. (Attorney/Agent)	37,764	Telephone (714) 557-3800
Signature			Date	08/26/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.
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